

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application No. : *Continuation of 09/669,841*  
Applicant : Kenneth K. LI  
Filed : Herewith  
TC/A.U. :  
Examiner :

**Docket No. : 2138-295**  
**Customer No. : 6449**


Commissioner for Patents  
P.O. Box 1450  
Alexandria VA 22313-1450

**INFORMATION DISCLOSURE STATEMENT**

Sir:

The material listed on the accompanying forms PTO-1449 and forms PTO-892, from the parent application having U.S. Serial Number 09/669,841, is cited in compliance with the provisions of 37 C.F.R. §§ 1.56, 1.97 and 1.98. Applicant respectfully requests that the Examiner consider these references with respect to the present application. Copies of these references can be found with the parent application and, accordingly, will not be resubmitted unless requested by the Examiner.

Respectfully submitted,

By   
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Attorney for Applicants  
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# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Complete if Known

Application Number 09/669,841

Filing Date

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Inventor: Kenneth K. Li  
Attorney Docket: 2138-295

A copy of this Information Disclosure Statement is intended for the attached application submitted herewith

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Attorney Docket Number 2138-221

## U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY
		Number	Kind Code <sup>2</sup> (if known)		
MR		4,460,939		Murakami et al.	07/17/1984
MR		5,430,634		Baker et al. of record - IDS of 11/30/00	07/04/1995
MR		5,515,242		Li	05/07/1996
MR		5,707,131		Li of record - PTO-892 of 4/18/01	01/13/1998

## FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document			Name of Patentee of Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	T <sup>6</sup>
		Office <sup>3</sup> Code	Number <sup>4</sup>	Kind <sup>5</sup> (if known)			
MR		EPO	0 642 047	A1	General Electric Co., Ford Motor Co., Ford Werke A.G. Ford France S.A. B.P. and Ford Motor Co., LTD	09/01/1994	
MR		PCT	WO 98/39684	A1	Remote Source Lighting International, Inc.	09/11/1998	

Examiner Signature

*M. M.*

Date

Considered

9/10/02

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup>Unique citation designation number. <sup>2</sup>See attached Kinds of U.S. Patent Documents. <sup>3</sup>Enter Office that issued the document, by the two-letter code. <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language translation is attached. AB indicates that only an English language abstract is attached.

**Notice of References Cited**

Inventor: Kenneth K. LI

Attorney Docket: 2138-295

A copy of this Information Disclosure Statement is  
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*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Name	Classification
	A	US-6144412	11-2000	Hirano et al	348/441
	B	US-			
	C	US-			
	D	US-			
	E	US-			
	F	US-			
	G	US-			
	H	US-			
	I	US-			
	J	US-			
	K	US-			
	L	US-			
	M	US-			

**FOREIGN PATENT DOCUMENTS**

*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Country	Name	Classification
	N					
	O					
	P					
	Q					
	R					
	S					
	T					

**NON-PATENT DOCUMENTS**

*		Include as applicable: Author, Title Date, Publisher, Edition or Volume, Pertinent Pages)
	U	
	V	
	W	
	X	

\*A copy of this reference is not being furnished with this Office action. (See MPEP § 707.05(a).)  
Dates in MM-YYYY format are publication dates. Classifications may be US or foreign.

## LIST OF MATERIALS CITED BY APPLICANT

(Use several sheets if necessary)



Inventor: Kenneth K. LI  
Attorney Docket: 2138-295

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## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
WK	AA	4,757,431	07/1988	Cross et al.	362	261	
WK	AB	5,414,600	05/1995	Strobl et al.	362	32	
WK	AC	5,430,634	07/1995	Baker et al.	362	32	
	AD						
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	AG						
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	AJ						
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	AL						

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	AM							
	AN							
	AO							
	AP							

EXAMINER

DATE CONSIDERED

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4/13/01

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# **Notice of References Cited**

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Inventor: Kenneth K. Li  
Attorney Docket: 2138-295

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## **U.S. PATENT DOCUMENTS**

	Document Number Country Code-Number-Kind Code	Date MM-YYYY <sup>1</sup>	Name	Classification <sup>2</sup>	
A	4,357,075	11/1982	Hunter	359	858
B	4,634,276	1/1987	Sharpe	356	305
C	5,707,131	1/1998	Li	362	32
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